

## Program on **KYC**, Money Laundering (**ML**) & Financial Crime (**FC**) Risks Management



### Program Objectives

- Review the revised regulation on KYC for banks and NBFCs including Digital KYC
- Review the important aspects and issues surrounding regulatory guidelines on KYC-AML-CFT
- Learn enhanced tools and Techniques in AML and Financial Crime Risk Management (FCRM) systems
- Understand Enterprise Risk Management in the context of AML and FCRM framework
- Relevance/application of Wolfsberg principles to Indian situations in context of recent developments
- Explore how compliance and performance can be strengthened through enhanced processes and controls, including the use of advanced technologies
- Demonstrate to the participants through case discussions and experience sharing
  - The inter-linkages between financial crime and loan portfolios
  - The inter-linkages amongst operational risk management, fraud risk management and KYC, AML & FCRM
  - The Role of AML Program & FCRM in implementing Enterprise Risk Management

### Program Highlights

- Get to listen and interact with senior regulators ( RBI, SEBI, FIU, Enforcement Directorate etc.) and industry practitioners
- Opportunity for experience and knowledge sharing through close interaction with peers and common stakeholders
- Optimal mix of learnings from class room presentations, case studies and experience sharing

### Participant Profile

Heads and Senior Officials in charge of KYC-AML implementation, financial crime management, Fraud Monitoring, Operational risk, ERM, internal audit, compliance and vigilance in banks, FIs and NBFCs.

### About CAFRAL Programs

CAFRAL Conferences/Workshop /Programs focus on Continuing Professional Development (CPD) in the BFSI Sector for Capacity Building.

### Program Conditions

- Program fee is payable before the program.
- Program fees will not be refunded, if the nomination is cancelled within seven working days of the program.
- Organisation may depute another officer for the program, if the nominated person cannot attend the program.

**Date:** October 15-16, 2019

**Time:** 9:00 AM to 5:30 PM

**Venue:** Classroom, Taj Lands End, Bandra(W), Mumbai

**Type:** Non- Residential program

**Fee:** Rs.40,000/- + @18 GST

**Last date for filing nomination**  
**October 09, 2019**

**Nominations now open**

For Nomination Form please visit our site [www.cafral.org.in](http://www.cafral.org.in) Or click on the link below:

[KYC-AML -FCRM](#)

**For more details, contact:**

**Pramod Kumar Panda**  
**SENIOR PROGRAM DIRECTOR**

Tel: +91 22 2657 1044

Mob: 9130014460

Email [pramod.cafral@rbi.org.in](mailto:pramod.cafral@rbi.org.in)

**Vinita Jain**  
**PROGRAM OFFICER**

Tel: +91 22 2657 1019

Mob: +91 9892883647

Email: [vinita.cafral@rbi.org.in](mailto:vinita.cafral@rbi.org.in)

**Centre for Advanced Financial Research and Learning (CAFRAL)**

C-8 / 8th Floor, RBI Building,  
BKC, Bandra (E), Mumbai – 400 051

[www.cafral.org.in](http://www.cafral.org.in)