

## Program on KYC & AML for Senior Executives in Risk & Compliance Department in Banks, FIs and NBFCs



### Background:

The Prevention of Money-Laundering Act, 2002 (PMLA) and the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 (PMLA Rules), form the legal framework on Anti-Money Laundering (AML) and Countering Financing of Terrorism (CFT) which are required to be adhered to by the Regulated Entities (REs). A comprehensive KYC-AML framework is a bulwark to prevent the financial system from being used in money laundering and other unlawful activities. India, being a member of FATF, is committed to uphold the global standards set for Money Laundering (ML) / Terrorist Financing (TF) and protect the integrity of international financial system. REs must have a robust, efficient and sound KYC-AML framework updated periodically, as part of their business strategy and governance structure. The Boards of REs should have proper oversight on the KYC-AML framework and ensure that effective KYC-AML policies, procedures, systems and controls are in place, properly aligned with the regulatory guidelines, to ensure strict compliance with KYC-AML requirements.

### Objectives:

This program seeks to sensitize the senior executives of REs about the risk & strategic issues which need to be factored in the KYC-AML framework and address the challenges in respect of compliance. It will also be a review of extant regulations, and provide updates on recent changes in the KYC & AML regulations including the requisite management oversight, systems & controls and related matters.

### Program Highlights:

The program will deal with the following:

- KYC & AML Risk Management Framework: Regulatory Perspective
- Supervisory Observations and Expectations on KYC and AML Compliance
- Transaction Monitoring and Beyond
- Central KYC Registry & Compliance –Developments, Issues and Challenges
- KYC-AML/CFT from FIU perspective
- Trade Based Money Laundering (TBML)
- Use of Advanced Technologies in combating AML & CFT
- Financial Crime Fraud and KYC-AML Compliance

### Program Conditions

- ♦ Program fees need to be paid before the program
- ♦ Nominations may be cancelled up to 7 days before the program
- ♦ Banks may depute another senior officer if the nominated officer cannot attend

**Date:** July 21-22, 2025

**Time:** 09:45 AM to 05:45 PM

**Venue:** Forum & Capitol, Hotel Taj  
President, Cuffe Parade,  
Mumbai

**Type:** Non-Residential

**Fees:** Rs. 50,000/- + 18% GST

For Nomination Form please visit  
our site [www.cafral.org.in](http://www.cafral.org.in)

**Last date for filing nomination**  
July 15, 2025

**For more conference details,  
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### Participant Profile

- Heads, senior / middle-level officers working in the areas of KYC –AML Implementation and Compliance, Financial Fraud Monitoring, Financial Crime Risk Management
- Heads & Senior officers of Compliance Department /Group, Internal Audit and Inspection, Enterprise Risk Management and Vigilance
- Senior officers of RBI and SEBI dealing with regulation and supervision relating to KYC, AML, financial frauds and financial crimes, etc.