

CAFRAL Virtual Program on Compliance for Senior Officers of Banks, FIs & NBFCs



Background:

Compliance functions are key components of a financial institution's risk management systems that ensures its continuity and successful business of financial intermediation. With the use of new age banking tools for operations and compliance functions, increasing complexities in operations, collaboration with fintechs and other multiple outsourced entities, compliance risk management has emerged as a distinct risk management discipline. Often, non-compliance has resulted in regulatory interventions adversely impacting the regulated entities. It thus requires banks and NBFCs to have in place an efficient compliance function, independent from the business activities, ensure a healthy compliance culture and a robust compliance management framework, aligned with the extant regulations.

Objective:

This one-day virtual program would provide an opportunity to the participants to understand the regulatory perspective, expectations and concerns. The participants will be apprised of the emerging compliance landscape and challenges including increasing complexities in operations of financial institutions and in adoption of technology.

Program Highlights:

The Program will deal with the following:

- Compliance in Banks and Regulatory Expectations
- Compliance Risk Assessment under Risk Based Supervision
- Robust Governance, Risk & Compliance Framework-Emerging Trends
- Technology in Compliance Functions
- Breaches in Compliance and Enforcement actions
- Perspective of International best practices in compliance

Date: March 06, 2024

Time: 09:45 AM to 05:45 PM

Platform: CISCO WebEx

Type: Virtual Program

Fees: Rs. 20,000/- + 18 % GST

For Nomination Form please visit our site www.cafral.org.in

Last date for filing nomination
March 01, 2024

For more conference details, contact:

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Participant Profile

CCOs, Heads of Compliance and their senior team members from Banks, FIs and NBFCs.

Program Conditions

- ◆ Program fees to be paid before the program
- ◆ Nominations may be cancelled up to 7 days before the Program
- ◆ Banks may depute another senior officer if the nominated officer cannot attend.

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